



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/764,370	01/19/2001	Karl L. Ginter	7451.0001-17

CONFIRMATION NO. 9046

22852

FINNEGAN, HENDERSON, FARABOW, GARRETT &  
DUNNER LLP  
1300 I STREET, NW  
WASHINGTON, DC 20005

## FORMALITIES LETTER



\*OC000000006017821\*

Date Mailed: 04/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/24/2001 MABDI1 00000018 09764370

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130.00 DP

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*A copy of this notice MUST be returned with the reply.*

*4els*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



*Sector*

PATENT  
Customer No. 22,852  
Attorney Docket No.: 7451.0001-17  
InterTrust Ref. No.: IT-5.3.1.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Karl L. GINTER, et al. ) Group Art Unit: 2132  
)  
Serial No.: 09/764,370 ) Examiner: Unassigned  
)  
Filed: January 19, 2001 )  
)  
For: SYSTEMS AND METHODS FOR )  
SECURE TRANSACTION )  
MANAGEMENT AND ELECTRONIC )  
RIGHTS PROTECTION )

Attention: **BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts dated April 27, 2001, the period for response having been extended by the accompanying request for extension and payment of extension fee, applicants submit for filing in this application two Declarations/Powers of Attorney, a Revocation of Power of Attorney and Grant of New Power of Attorney, a substitute specification and substitute drawings, the required fee of \$130.00, a one-month extension fee of \$110.00, and a copy of the Notice to File Missing Parts.

The present application is a continuation of Ser. No. 09/335,465, filed June 17, 1999 (now U.S. Pat. No. 6,237,786) [hereinafter "the immediate parent"], which is a continuation of Ser. No. 08/780,393, filed January 8, 1997 (now U.S. Pat. No. 5,915,019), which is a divisional of Ser. No. 08/388,107, filed February 13, 1995 [hereinafter "the parent application"]. As stated above and in an abundance of caution, applicants are submitting copies of two Declarations: the Declaration filed in the immediate parent application and the Declaration filed in the parent application.

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L.L.P.  
STANFORD RESEARCH PARK  
700 HANSEN WAY  
PALO ALTO, CALIF. 94304  
650-849-6600

The Declaration filed in the immediate parent application was newly executed to acknowledge the change in the power of attorney and update the address of the one of the inventors. For completeness, applicants are also submitting a copy of the original Declaration from the parent application. However, because this Declaration had given power of attorney to Nixon & Vanderhye, P.C., applicants are now filing concurrently herewith a Revocation of Power of Attorney and Grant of New Power of Attorney granting power to the undersigned and the firm of Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.

Applicants submit that, alone or together, these two Declarations satisfy the requirements of 37 C.F.R. § 1.63 and document the client's intent to have the power of attorney vested in Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.

Please associate the enclosed papers with the application, grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: July 20, 2001

By: *Linda J. Thayer*  
Linda J. Thayer  
Reg. No. 45,681

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